#### **National Institute of Immunology (NII)**

Proposal entitled: A novel vaccine evaluation platform to support SARS-CoV-2 vaccine development in resource-limiting settings

#### I. Brief description of the proposed activity:

This project has been designed to provide the well-characterized animal model for evaluating the long-term efficacy of vaccines that are in early phase of development. The aim of this project is to develop the animal model based vaccine evaluation platform that would test the immunogenicity, quality and stability of protective immune response induced by any vaccine candidate. By providing a vaccine evaluation model that can be handled in BSL-2 facility the outcome from this project would be beneficial in speeding up the vaccine evaluation in early phase with easily identifiable targets to evaluate the efficacy of a candidate under development. Following activities are prosed under this project:

- 1. Characterization of Animal model for evaluation of SARS-CoV-2 vaccine.
- 2. Development of in vitro and in vivo vaccine evaluation assays using the SARS-CoV-2 pseudovirus.
- 3. Development of synthetic Peptide-MHCII T-cell tetramer for evaluating vaccine long-term efficacy.

#### II. List of environments related regulatory clearances required for the activity.

No such clearance is required for this project. In addition to the systematic waste disposal procedure, NII has institutional committee that ensures implementation of standard bio-safety guidelines. NII also complied with the government emergency regulations with fire exit signs, necessary equipment's to control fire and periodic trainings of the staff at work place.

	Institutional Arrangement						
Area	a of Risk	Yes	No	Details	Proposed Plan		
1.	Is there a designated full-time staff for Environment Health and Safety (EHS) issues?			No exactly with such designation, but a Biomedical office from institute take care of such responsibility	The proposed project elements will be covered by any committees/ agencies within NII.		
2.	Does the EHS staff handle the following?  Occupational Health and Safety  Waste Management  List of consents and regulatory clearances  Record keeping of accidents and procedures  EHS trainings for staff	Ţ	V	Biomedical officer from NII is also member of IBSC. Thus, consent regulatory clearances as well as record keeping of accidents.	Necessary and mandatory approvals will be taken as and when required.		
	Environment Management Framework compliance for Innovate in India Project		V		Environment Management Framework compliance for Innovate in India Project will be followed		

3.	Is there a reporting structure in place regarding EHS issues?		V		We will follow the plan with a proper structure in place.
4.	Are regular EHS trainings provided to staff?		V	training.	This will be regularly followed by ensuring adherence to the requirements.
5.	Institutional Bio-Safety Committee (IBSC)	$\sqrt{}$		projects related to biosafety with at least 3 meetings in a year	Now, we are switching completely to digital one though IBKP portal of DBT with periodic review. Research Unit will comply with Bio- Safety Committee requirement throughout the project.
6.	Ethics Committee (EC)	√		Review and approve the projects related to research involving human subjects with at least one meeting in a year	The Ethics Committee will be scheduling meetings regularly depending on the requirement. During the meeting, the members will review the new project proposals and also review the progress of the ongoing projects.  They will review the ethical and the informed consent related issues pertaining to research project.
	General	Occur	atio	nal Health and Safety	research project.
	Area of Risk				Proposed Plan
7.	Are there Standard Operating Procedures for accidents, hazards, and other emergencies (chemical spills, heat hazards, fire hazards, radioactive hazards etc.)?	V		corrosive chemicals, chemical spill as well as fire extinguisher are in place.	A structured system is in place.  Display of Procedures at prominent places in the site will be ensured.
8.	Are the following in place? Chemical spill kits Eye wash Shower stations First Aid Kit Fire Extinguishers Register of accidents and injuries	\ \ \ \ \ \ \ \		staff uses Lab aprons. Eye wash and Shower stations are available in nearest washroom.	A structured system is in place.  Proper equipment will be in place and stock will be maintained as per the Institute's guidelines for Environment Health and Safety (EHS).

9.	Are proper signage and storage system in place?		$\sqrt{}$	Yes, proper system is in place.	Research Unit will comply with
	Display of Material Safety Data Sheet (MSDS) where		$\sqrt{}$	prace.	organization policy and maintain a proper regulation of these requisites.
	relevant Display of emergency	2/			1
	numbers and procedures	V			These would be regularly
	(Person to Contact, Doctor,				updated/ replaced while
	Ambulance, Fire Emergency,				carrying out maintenance.
	Police) displayed in all critical				
	places	1			
	Signage across the facility	$\sqrt{}$			
	(labs, storage, hazardous areas, etc.)				
	Are flammable materials	V			
	appropriately stored to prevent fire hazards?				
10.	Are smoke detectors, fire	$\sqrt{}$		Yes, automatic systems	The work department follow
	alarms, automatic safety/shut			are in place.	the SOP.
	off systems, overflow preventors, etc. in place and				
	regularly maintained?				These would be regularly
					maintained.
11.		<b>V</b>		We have chemical hoods	The SOP will be followed.
	VOC, air emissions, high			and Biosafety cabinets to	
	operating temperatures, pathogens/vectors etc. in			handle VOC and air emissions and Pathogens	
	place?			chiissions and I amogens	
12.	Are regular mock drills	$\sqrt{}$		Setup has mock drills	The work department follow
	conducted for emergency			for fire safety once in	the SOP.
	preparedness and safety?			year	
13.	Are staff provided with OHS	$\sqrt{}$		Students/regular staff	Temporary Staff/ visitor
	training?			during lab courses were taught about	joining the lab will have
				safety procedures	training at the time of joining
		В		dical Waste (BMW)	
	Area of Risk	Yes	No	Details	Proposed Plan
14.	Is there generation of	<b>V</b>		As part of research	The institutional biomedical
	biomedical waste (as			following biomedical	waste management systems in
	described in Bio-Medical			waste is generated.	in place.
	Waste Management Rules,				
	2016) in the grantee?				BMW generated will be treated
					adhering to Bio-Medical Waste
					Management Rules, 2016.

15.	Is there trained staff to handle biomedical waste in the grantee?	V		Yes, staff working in lab is trained to handle and segregate.	Follow the institutional policy.
16.	Has the grantee obtained authorization from State Pollution Control Board		$\sqrt{}$	The institutional structure is	The institutional structure is in place and followed.
	/Pollution Control Committee?				Necessary approvals and authorizations will be obtained from the SPCB as and when required
17.	Is the biomedical waste	$\sqrt{}$		Yes, biomedical waste	
	segregated at point of			segregated at point of	This is an angaing musass
	generation in the facility and stored in suitable containers?			stored in suitable containers	This is an ongoing process which will be followed throughout the Project.
18.	Is the bar code system for the segregated waste in place?		V	, and the second	The institutional biomedical waste management systems in in place.
					Bar coding will be done as per BMW rules
	Is the biomedical waste being	$\sqrt{}$			The institutional biomedical
	sent to an <b>authorized</b> common BMW facility?				waste management systems in in place.
20.	Does the grantee have an inhouse BMW treatment facility?		√		The institutional biomedical waste management systems in in place.
	Is the treatment facility own (individual)?		V		F
	Is the treatment facility a shared facility in an industrial park?		$\sqrt{}$		
21.	Are lab waste, microbiological waste and chemical liquid waste pre-treated before storing and sending to treatment facilities according to guidelines prescribed in BWM, 2016 regulations?	V			Compliance with the institutional biomedical waste management systems.

22.	Is the liquid waste checked for active cells before sending to treatment plant?		$\sqrt{}$		All the liquid waste discarded with Sodium hypochlorite treatment.
23.	Are necessary waste pre- treatment equipment in place?	V		Autoclaves	Pre-Treatment will be done by decontamination by our staff regularly.
	Do the equipment adhere to prescribed norms by State Pollution Control Board (SPCB)?	V			
24.	Are chlorinated plastic gloves and bags phased out in the grantee?		$\sqrt{}$		Decomposed in regular waste after sodium hypochlorite treatment.
25.	Are grantee's personnel involved in handling BMW provided with regular training?			waste management	Institute has independent staff to handle biomedical waste management
26.	Are medical examination provided to personnel involved in BMW waste handling and are they provided with relevant immunization like Hepatitis B and Tetanus?	V		The institutional biomedical waste management systems is in place.	The institutional biomedical waste management systems will be followed.
27.	Is a daily register for biomedical waste maintained including accident reporting record?	$\sqrt{}$		The institutional biomedical waste management systems is in place.	
28.	Are annual reports on BWM submitted to SPCB as per required form (see Bio-Medical Waste Rules 2016)?			The institutional policy for biomedical waste management systems is in place.	The institutional policy for biomedical waste management systems will be followed.
				s Waste (HW)	
	Area of Risk	Yes	No	Details	Proposed Plan
29.	Is there generation of hazardous waste (as per Hazardous Waste Rules, 2016) in the grantee?		V		If any hazardous waste is generated as per rules it will be handled and disposed.
30.	Is there trained staff in the facility to identify and handle hazardous waste?	V		IBSC handles it.	Follow the IBSC guideline.

31.	Does the grantee have authorization from SPCB for hazardous waste?		V		Necessary Authorizations will be taken if required.
32.	Is there a secure location for storage of HW with proper signage?		V		We will arrange proper storage facilities when required
	Are hazardous waste stored for more than 90 days in the grantee's premises?		V		
33.	Is the hazardous being send to an <b>authorized</b> disposal facility or user?		V		Hazardous waste will be sent to authorized recycler if generated
	Is the disposal facility in house?  Is the disposal facility external/outsourced?		√ √		
34.	Is a register maintained on production and treatment, and a manifest system followed for transport of hazardous waste from the grantee to treatment facility?		$\sqrt{}$		We will maintain the register when required
		]	E-Wa	aste and Batteries	
	Area of Risk	Yes	No	Details	Proposed Plan
35.	Does the grantee generate e- waste, produce or manufacture electrical and electronic equipment?		<b>V</b>	No substantial electrical waste is generated in the lab	Procedures will be followed as per the guidelines.
35. 36.	waste, produce or manufacture electrical and electronic		√ √	waste is generated in the lab  No substantial electrical waste is generated in the lab	per the guidelines.  Necessary Authorizations will be taken if required.
	waste, produce or manufacture electrical and electronic equipment?  Has the grantee obtained SPCB authorization on e-		\ \ \	waste is generated in the lab  No substantial electrical waste is generated in the lab	per the guidelines.  Necessary Authorizations will be taken if required.  Appropriate steps will be taken
36.	waste, produce or manufacture electrical and electronic equipment?  Has the grantee obtained SPCB authorization on e-waste?  Does the grantee channelize the e-waste to authorized		\ \ \	waste is generated in the lab  No substantial electrical waste is generated in the lab  No substantial electrical waste is generated in the	Necessary Authorizations will be taken if required.  Appropriate steps will be taken and necessary authorizations

	manufacture of electrical and electronic equipment and its parts?				
40.	Does the grantee provide detailed information on the constituents of the equipment and their components/spares and declaration of conformation to Reduction in Hazardous Substances in the product user documentation?		$\checkmark$	No substantial electrical waste is generated in the lab	
41.	Does the grantee maintain a record of collection, storage, sale and transport of e-waste?		$\sqrt{}$	No substantial electrical waste is generated in the lab	
42.	Does the grantee submit annual reports on e-waste to SPCB?		√	No substantial electrical waste is generated in the lab	
43.	Is there accident reporting and records in place?		$\sqrt{}$	No substantial electrical waste is generated in the lab	
44.	Are PPEs available to staff?		V		The stock status of PPE will be regularly monitored and procurement will be done in time to avoid any situation of stock out.
45.	Is the grantee involved in manufacture of batteries?		<b>V</b>	No substantial electrical waste is generated in the lab	
46.	Does the grantee generate battery waste?		<b>V</b>	No substantial electrical waste is generated in the lab	
47.	Does the grantee deposit the battery waste to <b>registered</b> recycler/dealer/manufacturer/r econditioner/collection center?		V	No substantial electrical waste is generated in the lab	
48.	In case of manufacturing, does the grantee comply to Battery Management Rules 2000 and ensure collection of old batteries?		V	No substantial electrical waste is generated in the lab	
	Commun			and Safety and risk mitigati	
		Yes	1 <b>N</b> O	Details	Proposed Plan
49.	Safety Transportation Management System (for transport of hazardous material)	V		Only autoclaved material is disposed off.	Will follow the safety transport management system if required

50.	Emergency preparedness and participation of local authorities and potentially affected communities				Will develop the emergency preparedness plan if required				
	Other								
	Area of Risk	Yes	No	Details	Proposed Plan				
51.	Does the grantee use any radioactive materials (isotopes tracers, radiation equipment, etc)?		V		we don't use radioactive material				
	Does the grantee have appropriate radioactive material and waste storage and disposal system in place?		<b>V</b>		If we use, then we will arrange for proper storage and disposal				
	Are radioactive warning signs in place?		$\sqrt{}$		Will be implemented if required				
52.	Is the lab/room air regularly checked for microbial contamination?		V	No microbial work is done in the lab	Will be implemented if required				
53	Are there any odor control measures in place?		V		Periodic checks will be done preventive measures will be taken if required				
54.	Are fume hoods and exhausts regularly checked and maintained?	V		Exhaust installed and checked in dedicated area.	Periodic checks will be done				
55.	Does the grantee use DG set > 15 KVA?		V	3	If DG sets are used then emissions will be regularly monitored as per CPCB norms				
	Does the grantee have consent for DG > 15 KVA?		$\sqrt{}$	electricity distributers					
	Are emissions from boilers and DG sets regularly monitored to be within the prescribed norms?		<b>√</b>		if procured				
56.	Does the grantee have proper disposal process for solid and plastic waste in compliance to Solid Waste Management Rules, 2016 and Plastic Waste Management Rules, 2016?		V	Describe: It will be ensured that segregation rules are followed	This will be maintained and monitored				

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57.	Is wastewater treated separately by the grantee? (Liquid waste from laboratory, chemicals, fluids, solvents, medium and cultures, coolants, etc.)	V		Wastewater treatment plant exist in the institute.	
	Are there sludge management and cut off drains in place for wastewater?				
58.	Are necessary provisions for noise cancellation in place?	$\sqrt{}$		No noise is generated in the institute.	Preventive measures will be taken for reducing noise levels if generated
59.	Are there any settlements, water bodies, cultivated land, or any other eco-sensitive areas near the grantee's premises?			No	
60.	Are there any buffers, fire vehicle routes in the grantee's premises?	$\sqrt{}$		Fire vehicle routes are available	
	COVID	Preca	ıtior	ns & Guidelines Implementat	ion
61.	Guidelines of CPCB/SPCB/GoI for Handling, Treatment, and Disposal of COVID Waste Generated is whether being followed?	V			Masks and gloves and PPE are used.
62.	SOP on preventive measures to contain spread of COVID-19 issued by ICMR/GoI from time to time is whether being followed?			All the necessary procedures are followed	Regular sanitization in the premises, Thermal screening is in place.

Notwithstanding the above other risk (relevant to the project activities) that will be identified in the course shall be addressed as per standard mitigation monitoring parameters and manner of records keeping shall be in accordance to the recommendations of the project monitoring committee on subject experts engaged by BIRAC.